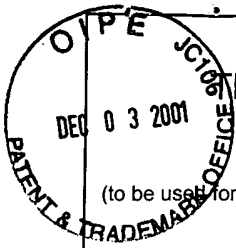


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(Modified) PTO/SB/21 (12-97)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/919,505
Filing Date	July 31, 2001
First Named Inventor	Ausserer
Group Art Unit	1743
Examiner Name	
Attorney Docket No.	100/11630
Total number of pages This Submission	

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ENCLOSURES (check all that apply)

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Authorization to Charge Deposit Account Please charge Deposit Account No. 03-0177 for any additional fees associated with this paper or during the pendency of this application.		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Matthew B. Murphy	Reg. No.	39,787
Signature			
Date	11/9/01		

CERTIFICATE OF MAILING

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

WALTER AUSSERER et al.

Application No.: 09/919,505

Filed: July 31, 2001

For: HIGH THROUGHPUT
SEPARATIONS BASED ANALYSIS
SYSTEMS

Examiner: Unknown

Art Unit: 1743

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97
AND 1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on the attached form PTO-1449 are being called to the
attention of the Examiner. A copy of each reference is enclosed.

It is respectfully requested that the cited information be expressly considered
during the prosecution of this application, and the references be made of record therein and
appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the
information and references cited are prior art merely because they are in this statement and no
representation is made that a search has been conducted or that this statement encompasses all
possible relevant information.

WALTER AUSSERER et al.

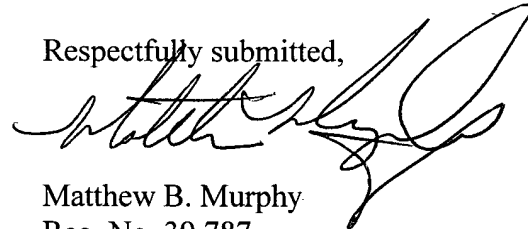
Serial No. 09/919,505

Page 2

Applicants believe that no fee is required for submission of this statement, since it is being submitted within three months of the filing date or prior to the first Office Action.

However, if a fee is required, the Commissioner is authorized to charge such fee to Deposit Account No. 03-0177. Please charge any additional fees or credit any overpayment to the above-noted deposit account.

Respectfully submitted,



Matthew B. Murphy
Reg. No. 39,787

CALIPER TECHNOLOGIES CORP.

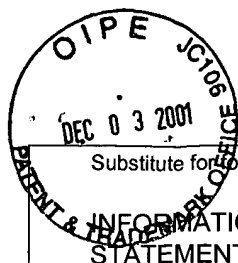
605 Fairchild Drive

Mountain View, CA 94043

Ph: (650) 623-0737

Fax: (650) 623-0500

MBM:mc



Substitute for form 1449A-B/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number **9/919,505**
Filing Date **July 31, 2001**
First Named Inventor **Ausserer**
Group Art Unit **1743**
Examiner Name
Attorney Docket Number **100/116300**

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
	AA	5,699,157		Parce	12-16-1997	
	AB	5,779,868		Parce et al.	07-14-1998	
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	AP	6,287,520		Parce et al.	09-11-2001	
	AQ					

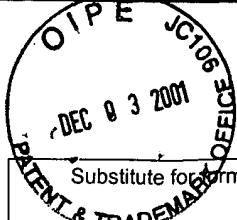
FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
	AR	WO	98/49548		Caliper	11-05-1998		
	AS	WO	99/39190		Signature	08-05-1999		
	AT	WO	00/43766		Caliper	07-27-2000		
	AU	WO	00/45172		Caliper	08-03-2000		
	AV	WO	00/46594		Caliper	08-10-2000		
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	Application Number	9/919,505
	Filing Date	July 31, 2001
	First Named Inventor	Ausserer
	Group Art Unit	1743
	Examiner Name	
Attorney Docket Number	100/11630US	

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	AX	WOOLLEY, A.T. et al., "Ultra-high-speed DNA fragment separations using microfabricated capillary array electrophoresis chips" PNAS (1994) 91:11348-11352	
	AY		
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